

Important Changes to Data Privacy Policy 重要通告：私隱政策之重要更新

We, **Huatai Financial Holdings (Hong Kong) Limited (“Huatai”)**, is committed to protecting your personal data privacy. In light of the new “Direct Marketing” requirements under the amended Personal Data (Privacy) Ordinance with effect from April 1, 2013, to ensure that you can make informed decisions and feel confident about provision of your Personal Data (as defined below) to us in connection with your investment account, respective changes on our distribution of marketing and promotion materials have been made in line with the new requirement on use of personal data for direct marketing purposes.

「**華泰金融控股(香港)有限公司**」承諾致力保障您的個人資料安全。新的《個人資料(私隱)(修訂)條例》有關直接促銷的要求已於2013年4月1日生效。為確保對閣下之投資帳戶作出合適的投資決定，及向本公司披露個人資料時感到安心，華泰已就新修訂直接促銷條例之要求對個人資料應用於分發市場推廣訊息作相關修訂。

Notice on Direct Marketing 直接促銷通知

Huatai intends to use your personal data for direct marketing and requires your consent (which includes an indication of no objection) for that purpose. In this connection, please note that the following data will be used for marketing our product and services.

本公司擬把客戶資料用於直接促銷，而本公司為該用途須獲得客戶同意（包括表示不反對）。請注意下列資料將會用於本公司產品及服務銷售：

Personal data includes your name, contact details, and all other information as provided by you during or subsequent to initial registration. We shall send you marketing communications such as direct-mail, email, telephone and SMS containing news, offers and promotions on products and services.

個人資料(包括您的姓名、聯絡資料及我們收集有關您賬戶的其他資料)，我們會透過郵寄印刷品、電郵、電話和SMS短訊，繼續向您提供有關我們產品及服務的資訊。

Products and services offered shall be within securities and futures dealing, fund management, discretionary account management, equity capital market services, financing and other financial services etc. 相關產品和服務包括證券及期貨買賣、基金管理、全權委託投資管理、資本市場服務、融資及其他金融服務等。

If you do not wish your personal data to be used in direct marketing by Huatai, you may exercise your opt-out right by completing the attached [**Notification – Objection of Using Personal Data**] and notify us of your choice.

如閣下不同意華泰使用閣下之個人資料作促銷之用，閣下可行使閣下之選擇權並填具附件之[**通知書 -反對使用個人資料**]通知本公司閣下之選擇。

Notification – Objection of Using Personal Data

通知書-反對使用個人資料

To: Huatai Financial Holdings (Hong Kong) Limited

華泰金融控股(香港)有限公司

I/ We have read and understood the new “Direct Marketing” requirements under the amended Personal Data (Privacy) Ordinance with effect from April 1, 2013 and Huatai’s intention of using my/our personal data in direct marketing.

本人/吾等已閱讀及明白新的《個人資料（私隱）（修訂）條例》有關直接促銷的要求已於2013年4月1日生效及華泰意欲使用本人/吾等之個人資料作直接促銷之用。

I/We hereby confirm that I/we find such use of my/our personal data not acceptable and hereby indicate as below box of my/our objection as such.

本人/吾等確認不同意有關安排，在以下空格加上「✓」號表示本人/吾等反對使用個人資料作直接促銷之用：

- I/ We object to the proposed use of my/ our personal data in direct marketing as captioned.
- 本人/吾等反對使用個人資料於擬作出的直接促銷。」

Authorized Signature 客戶簽署：

Client’s Name 客戶姓名：

Account Number 帳戶號碼：

Date 日期：